MAY 1 9 2003

Attorney Docket No.: ITLV-00104

#### THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit: 2171

Robert Olan Keith, Jr.

Examiner: Cam Nguyen

Serial No.: 09/800,607

Filed: March 6, 2001

TRANSMITTAL LETTER

For:

METHOD AND APPARATUS FOR APPLYING A PARAMETRIC SEARCH METHODOLOGY TO A **DIRECTORY TREE DATABASE** 

162 N. Wolfe Road Sunnyvale, CA 94086

RECEIVED

**FORMAT** 

(408) 530-9700

MAY 2 0 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

**Technology Center 2100** 

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

> Respectfully submitted, HAVERSTOCK & OWENS LLP

Dated: May 15, 2003

Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

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MAY 2 0 2003

Technology Center 2100

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,442,784;
- U.S. Patent No. 6,098,066;
- U.S. Patent No. 6,185,550 B1;
- U.S. Patent No. 6,212,518 B1;
- U.S. Patent No. 6,292,796 B1;
- U.S. Patent No. 6,327,588 B1;
- U.S. Patent No. 6,421,675 B1;
- U.S. Patent No. 6,484,165 B1; and
- U.S. Patent No. 6,499,033 B1.

**PATENT** Attorney Docket No.: ITLV-00104

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

> Respectfully submitted, HAVERSTOCK & OWENS LLP

Dated: May 15, 2003

Reg. No.: 37,902

Attorneys for Applicant

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))** I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP. 5-15-03ev

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Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-145011 Group Art Unit: 2171

Examiner: Cam Nguyen

**CERTIFICATION FOR** 

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37

CFR § 1.97(e)

RECEIVED

162 N. Wolfe Road Sunnyvale, CA 94086

(408) 530-9700

MAY 2 0 2003

Technology Center 2100

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

#### Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on April 30, 2003. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

PATENT Attorney Docket No.: <u>ITLV-00104</u>

# **Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: May 15, 2003

Jonathan O. Owens Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class that in an envelope addressed to the: Assistant Commissioner for Patents, P.O. Box 1450 Alexandra, VA 22313-1450